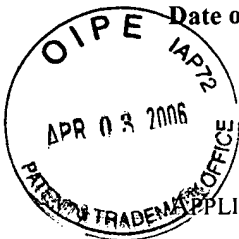


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Mark Hoffman et al.

SERIAL NUMBER: 09/696,762

EXAMINER: Nga B. Nguyen

FILING DATE: October 25, 2000

ART UNIT: 3628

FOR: Investment Advice Systems and Methods

Mail Stop Appeal Brief - Patents

Commissioner for Patents

P.O. Box. 1450

Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Transmitted herewith for filing in the above-identified application are the following documents:

1. Appeal Brief (143 pages including Cover Sheet, Table of Contents and Appendix I through IV) (in triplicate);
2. Check in the amount of \$250.00 for Appeal Brief filing fee;
3. Petition for Extension of Time (1 page);
4. Check in the amount of \$510.00 for Three-Month Extension of Time; and
5. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at 617-542-6000, Boston, Massachusetts.

Applicants believe that no additional fees are due in connection with this submission. However, the Commissioner is authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 24309-501. A duplicate copy of this Transmittal Letter is enclosed.

Respectfully submitted,

for Shane H. Hunter Reg. No. 54,039

Shane H. Hunter, Registration No. 41,858

Attorney for Applicants

c/o MINTZ, LEVIN, COHN, FERRIS

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Date: April 3, 2006